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PATENT & TRADEMARK OFFICE

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J.C. Goff
10/8/02

1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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3 Applicant: FRANK TAVERRITE
4 Title: GRAPHIC AND SOUND COORDINATED
5 NOVELTY ITEM AND METHOD
6 Serial No: 10/043,982
7 Filing Date: 01/10/2002
8 Attorney Docket No: TAVF 101
9 Date: April 3, 2002
10

11 Box: Patent Applications
12 COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, DC 20231

13 INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.9

14 In compliance with Applicant's and his attorney's duty of disclosure under 37 CFR
15 1.56, the Applicant does hereby submit the following Information Disclosure Statement,
16 Form PTO - 1449, and copies of the references listed thereon.

17 A patent search was manually conducted for the invention described in the above-
18 referenced patent application. In the course of the search, no patents were found for an
19 apparatus that has the same structural features or that operates in the same manner such as the
20 invention listed above. The following six (6) patents, however, were noted as being of
21 interest and are hereby brought to the Examiner's attention as references AA - AF. The
22 significance of each listed reference is as follows:

23 AA. U.S. Patent No. D357,567 (Fingleson et al.) discloses the ornamental design

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1 for a garment having printed instructions for self-examination of the breasts.

2 AB. U.S. Patent No. 4,999,848 (Oney) discloses a garment such as a shirt having a
3 flap providing two display areas. On one display area is a first part of a message or
4 information, and a sequel part is displayed on the second display area. Thus, the garment
5 forms a novelty shirt in that after a person sees the first message, the wearer or the other
6 person may lift the flap to a raised position to let the person see the second part of the
7 message.

8 AC. U.S. Patent No. D286,220 (Irwin) discloses the ornamental design for a T-
9 shirt having a row of even numbers counting in increments of two printed horizontally across
10 the chest area.

11 AD. U.S. Patent No. D255,169 (McCue) discloses the ornamental design for a shirt
12 with the phrase "Positive Energy" printed thereon, along with a symbol.

13 AE. U.S. Patent No. 3,970,803 (Kinzie, Jr. et al.) discloses a novel system for
14 generating audible information from indicia printed on paper. A printed soundtrack is
15 provided, oriented on the paper in a manual scanning direction, consisting of a multiplicity of
16 elongated parallel sound track segments printed on the paper in closely spaced arrangement.

17 AF. U.S. Patent No. 2,369,572 (H. E. Kallmann) discloses a new type of dictionary
18 offering printed audibly reproducible soundtracks.

19 The Applicant and his attorney submit that the above-cited references taken alone or
20 in combination neither anticipate nor render obvious the present invention. None of the
21 references disclose or claim a novelty item including an image or picture of a specific
22 activity, object, or place printed on one surface with an audio spectrograph tracing or
23 complex waveform that corresponds to the activity, object, or place printed thereon and a

1 method of promotion using the novelty item. The listed references relate only to the general
2 field of the disclosure and do not constitute an admission that the references are relevant or
3 material to the claims; they are cited only as constituting the closest art of which the
4 Applicant and his attorney are aware.

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6 Respectfully submitted,

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8 DEAN A. CRAINE

9 Reg. No. 33,591

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) TAVF 10	Application Number 10/043,982		
				Applicant(s) FRANK TAVERRITE			
				Filing Date 01/10/2002	Group Art Unit 3765		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	D357,567	04/1995	Fingleson et al.	D2	838	RECEIVED
	AB	4,999,848	03/1991	Oney	2	115	
	AC	D286,220	10/1986	Irwin	D2	209	
	AD	D255,169	06/1980	McCue	D2	208	
	AE	3,970,803	07/1976	Kinzie, Jr. et al.	179	100.3	
	AF	2,369,572	02/1945	Kallmann	35	35	
FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation NO	
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
EXAMINER				DATE CONSIDERED			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): **FRANK TAVERRITE**

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Docket No.

TAVF 101

Serial No.
10/043,982

Filing Date
01/10/2002

Examiner

Group Art Unit
3765

Invention: **GRAPHIC AND SOUND COORDINATED NOVELTY ITEM AND METHOD**

I hereby certify that this **INFORMATION DISCLOSURE STATEMENT**
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The
Assistant Commissioner for Patents, Washington, D.C. 20231 on April 4, 2002
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